

MEETING MINUTES

DATE: August 15, 2011

PLACE: Department of Business Regulation (DBR)

1511 Pontiac Avenue, Bldg 68-1 Conference Room

Cranston, RI 02920

MEMBERS PRESENT: Chair David R. Prengaman; Vice-Chair Barbara Feibelman; Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor (Present at 1:32 p.m. – 3:06 p.m.)

MEMBERS ABSENT: Louis A. DeQuattro, Jr., Esq., CPA, DBR Deputy Director & Counsel

Brian J. Riggs, DBR, Administrator, Financial Management

OTHERS PRESENT: Dawne Broadfield, Board Executive

CALLED TO ORDER: Chair Prengaman called the meeting to order at 1:07 p.m.

to commence Board business.

A. MINUTES OF THE MEETING OF THE BOARD

(1) Vice-Chair Feibelman moved to approve the open meeting minutes of July 11, 2011 as published. Member DeQuattro seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent.

Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, and Member David L. DeQuattro. Secretary Christian J. Ladds abstained, since he was not present at the last meeting. Member John K. Grosvenor was absent from this vote.

(2) Member DeQuattro moved to approve and seal the executive session meeting minutes of the July 11, 2011 as published. Vice-Chair Feibelman seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, and Member David L. DeQuattro. Secretary Christian J. Ladds abstained, since he was not present at the last meeting. Member John K. Grosvenor was absent from this vote.

B. EXECUTIVE SESSION

(1) Secretary Ladds moved to convene into executive session at 1:08 p.m. pursuant to RI General Laws, §42-46-5 (a)(2) for sessions or work sessions pertaining to collective bargaining or litigation and §42-46-5 (a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct. Vice-Chair Feibelman seconded. Motion passed, 4-0, four (4) in favor and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Member David L. DeQuattro. Member John K. Grosvenor was absent from this vote.

(2) Secretary Ladds moved to adjourn from executive session and to reconvene to an open meeting at 1:22 p.m. pursuant to R.I.G.L. §42-46-4. Vice-Chair Feibelman seconded. Motion passed, 4-0, four (4) in favor and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Member David L. DeQuattro. Member John K. Grosvenor was absent from this vote.

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(3) Secretary Ladds moved to seal the executive session and record the votes taken in executive session pursuant to RI General Laws §42-46-4 and §42-46-5. Vice-Chair Feibelman seconded. Motion passed, 4-0, four (4) in favor and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Member David L. DeQuattro. Member John K. Grosvenor was absent from this vote.

(4) No votes were available for recording. Therefore, Secretary Ladds moved pursuant to RI General Law §42-46-4 that the Board defer disclosure of all votes in executive session until such time as such disclosure would not jeopardize any strategy, negotiation, or investigation undertaken concerning RI General Law §42-46-5(a). Vice-Chair Feibelman seconded. Motion passed, 4-0, four (4) in favor and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Member David L. DeQuattro. Member John K. Grosvenor was absent from this vote.

(6) In Executive Session there were no closed matters.

(7) In Executive Session pending matters, there are two (2) pending matters, comprised of two (2) potential litigation and investigative matters, with no (0) recusals.

C. OLD BUSINESS

(1) New England Conference of Architectural Registration Boards (NECARB)

- 2011 Fall Conference - Rhode Island Board – Hosting State. (October 2011)**

The Rhode Island Board will be hosting the NECARB 2011 Fall Conference. It is the Host Board's duties to coordinate the meeting place, to confirm the reservations and to select a continuing education topic presentation preferably in Health, Safety, and Welfare (HSW).

- Chair Prengaman, in his role as NECARB Region I Secretary/Treasurer, drafted three (3) items as follows: the conference announcement, registration form, and agenda.**

- The Friday night dinner will be held at the Hotel restaurant, Aspire. The Saturday night dinner will be held at the New Rivers Restaurant.**

- The budget is based on twelve (12) guests staying in the Hotel, five (5) RI attendees and four (4) guests for a total estimate of twenty-one (21) people.**

- The Registration Package will be mailed to the Region I states this**

week.

- **Continuing Education:**

- **William McKenzie Woodward, Architect Historian of the Rhode Island Historic Preservation & Heritage Commission (RIHPHC) will discuss the Mckim Mead and White 1982 architectural competition of the 2nd Rhode Island State House (Providence). The talk and tour will focus on the preservation efforts and the physical evolution of the structure to accommodate both ADA accessibility and building code and fire code issues.**

- **Edward Sanderson, AIA, Executive Director RIHPHC, RI SHOP will lead a one (1) hour preservation walking tour of select Providence planning and building projects. The tour will discuss the evolution of the Rhode Island tax credits and the additional impacts of lead paint and code compliance issues on Rhode Island's preservation projects.**

All will see real life solution(s) to the many faceted preservation projects and the real life economic development benefits of the adaptive reuse of older structures.

- **Invite both Mr. Woodward and Mr. Sanderson to Saturday night dinner at New Rivers Restaurant.**

- **Continuing Education credit for at least one (1) hour;**

- **Ask Mr. Sanderson to write an outline of the tour's learning objective(s) to submit to the American Institute of Architects/RI Chapter (AIA/ri) for Continuing Education credit.**

- **The Board agreed to give each delegate copies of the Newport and Providence Architectural Guide Books at a total cost of \$25/delegate.**

The Board members will review and submit comments for finalization

no later than tomorrow.

D. NEW BUSINESS

(1) Meeting Date and Time – (Change or Leave ‘as is’)

There was some discussion last month about whether there was a reason to consider a different time or frequency of the Board’s meetings. The Board is required to only meet once in May.

After thought and discussion, Member DeQuattro moved to keep the meeting dates the same but change the starting time from 1:00 p.m. to 2:00 p.m. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and Member John K. Grosvenor.

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E. National Council of Architectural Registration Boards (NCARB) Information and Updates)-(Review/Take Action)

(1) News Clips: NCARB Member Boards Pass New Continuing Education Standards – So noted.

(2) News Clips: NCARB President’s Medal Awarded to Six For their Services to the Public and the Profession – So noted.

(3) ARE e-News: New Exam Guides Offer More – So noted.

F. APPLICATIONS

1. RECIPROCAL REGISTRATION REQUESTS FROM INDIVIDUALS

REGISTERED IN OTHER STATES SEEKING REGISTRATION IN RHODE ISLAND

(A) Member Grosvenor moved to approve the following reciprocal registration requests as submitted with an expiration date of December 31, 2011. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(1) BACCARI, Jenny Park (8) LAWTON, Mark B.

(2) BRENNAN, John T. (9) LEAVITT, Sheldon J.

(3) CANNON, David Dillard (10) MARTIN, AIA, James R.

(4) DUDLEY, Bradford J. (11) MCCOLLUM, Jr., Floyd Wilson

(5) GALIOTO, Carl (12) MCLAURIN, John F.

(6) GODSEY, H. Carleton (13) PECK, Christopher

(7) KOENIGER, Philip M.

(B) Secretary Ladds moved to approve the following reciprocal registration request with an expiration date of December 31, 2011 pending receipt of the required completed "Tax Payer Status Affidavit". Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(1) PENDLETON, L. Brown

(C) Vice-Chair Feibelman moved to approve the following reciprocal

registration request with an expiration date of December 31, 2011. Based on the design competition that Kenneth Van Time entered into in Rhode Island prior to being properly registered, the approval package also include a letter indicating that the Board read his explanation about his participation in the design competition. According to the Rhode Island Generals, he has violated the practice law by offering to practice without a license. The Board appreciates his candor and reminds him that he cannot practice or offer to practice architecture in the State of Rhode Island without a Certificate of Authorization (COA). Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(1) VAN TIME, Kenneth

2. REGISTRATION REINSTATEMENT REQUESTS FROM INDIVIDUALS REQUESTING REINSTATEMENT OF HIS/HER RHODE ISLAND REGISTRATION.

(A) No applications at this time.

3. INITIAL REGISTRATION REQUESTS FROM INDIVIDUALS WHO HAVE COMPLETED THE REQUIRED EDUCATION, INTERN DEVELOPMENT PROGRAM (IDP) AND THE ARCHITECTURAL REGISTRATION EXAMINATION (ARE) THROUGH THE NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS (NCARB) DIRECT REGISTRATION PROGRAM

(A) No applications at this time.

4. CERTIFICATES OF AUTHORIZATION (COA) APPLICATIONS (See Attached List)

(A) Member Grosvenor moved to approve the renewal application for the Certificate of Authorization for the Sole Proprietorship for James R. Kimball, Jr., #A-10,536, upon receipt of late fees due. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

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(B) Member Grosvenor moved to approve the application for the Certificate of Authorization for the Sole Proprietorship for H. Carleton Godsey Associates Architects as submitted. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(C) Member Grosvenor moved to approve the applications for the Certificates of Authorization for the Sole Proprietorships for Karissa Kuhns and James R. Martin, AIA as submitted. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were

Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(D) Vice-Chair Feibelman moved to approve the application for the Certificate of Authorization for the Sole Proprietorship for Jordan O'Connor & Associates subject to confirmation of the name of the second person who is a registered architect in any state. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(E) Member Grosvenor moved to approve the Amended Name Change Application for the Certificate of Authorization for the Sole Proprietorship for SPM Design (#A-10,450), former name Steven P. Medeiros as submitted. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(F) Vice-Chair Feibelman moved to deny the application for the Certificate of Authorization for the Partnership for NBBJ LP since the Board does not have a way to approve an entity that is composed of other entities. Member DeQuattro seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman,

Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(G) Vice-Chair Feibelman moved to conditionally approve the application for the Certificate of Authorization for the Limited Liability Company for ADD:Fitch Architecture PLLC as submitted pending receipt of a “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office. Member DeQuattro seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(H) Vice-Chair Feibelman moved to approve the Amended Name Change Application for the Certificate of Authorization for the Limited Liability Company for Leigh Douglas Overland Architect, LLC, AIA, NCARB, to match name filed at the Rhode Island Secretary of State’s office. The original name filed was Leigh Overland Architect, LLC. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(I) ADD, Inc. Architecture + Design, #A-14,325, submitted an on-line Certificate of Authorization renewal application on April 27, 2011 with a change of people in responsible control without submitting an Amended Certificate of Authorization application for Board review.

The Board corresponded on two (2) occasions requesting the required Amended Application with no response. Vice-Chair Feibelman moved to continue for another month. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(J) Member Grosvenor moved to conditionally approve the applications for the Certificates of Authorization for the Corporations for A&E National, Inc. and Earl Swensson Associates, Inc. as submitted pending receipt of a “Certificate of Good Standing” issued by the Rhode Island Secretary of State’s Office. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

(K) Member Grosvenor moved to approve the Change in Business Structure adding Richard K. Allen, Engineer, Senior VP/COO (Director, Officer, Shareholder) for the application for Certificate of Authorization for the Corporation for Stantec Architecture Inc., #A-14,432, as submitted. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

G. ADJOURNMENT

Chair Prengaman announced that the next Board meeting is scheduled for Monday, September 12, 2011, at 2:00 p.m., at the DBR, The John O. Pastore Center, 1511 Pontiac Avenue, Conference Room 68-1, Cranston, RI 02920. Member Grosvenor moved to adjourn the meeting at 3:06 p.m. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds, Members David L. DeQuattro and John K. Grosvenor.

Respectfully submitted,

Christian J. Ladds, AIA

Secretary

CJL/dmb

- **Posted on September 13, 2011 electronically on Secretary of State's Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b), business not included in this notice may be considered with the consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.**

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CERTIFICATE OF AUTHORIZATION (COA) APPLICATIONS

Board Meeting of AUGUST 15, 2011

SOLE PROPRIETORSHIPS

**H. CARLETON GODSEY ASSOCIATES \$100. KY APPROVED –
08/15/11
ARCHITECTS**

**JAMES R. KIMBALL, JR. - 0 - MA APPROVED – PENDING RECEIPT
OF**

#A-10,536 – EXPIRED 12/31/08 LATE FEES – 08/15/11

**SUBMITTED INITIAL APPL – SHOULD BE RENEWAL
LATE FEE DUE?**

KARISSA KUHNS - 0 - RI APPROVED – 08/15/11

JAMES R. MARTIN, AIA - 0 - CT APPROVED – 08/15/11

**JORDAN O’CONNOR & ASSOCIATES \$100. MA APPROVED SUBJECT
TO**

**CONFIRMATION OF THE NAME OF THE
SECOND PERSON WHO IS A
REGISTERED ARCHITECT ANYWHERE –
08/15/11**

SPM DESIGN \$50 RI APPROVED – 08/15/11

#A-10,450

Name Change From: Steven P. Medeiros

PARTNERSHIP

**NBBJ LP \$100. MA DENIED – BD DOES NOT HAVE A WAY TO
AN ENTITY THAT IS COMPOSED OF
OTHER ENTITIES – 08/15/11**

LIMITED LIABILITY COMPANIES

AAD:FITCH ARCHITECTURE PLLC - 0 - AZ APPROVED – 08/15/11

**LEIGH DOUGLAS OVERLAND ARCHITECT,
LLC, AIA, NCARB - 0 - CT APPROVED – 08/15/11**

COA number not issued to date –

Name Change from: Leigh Overland Architect, LLC

Name must match Cert of Good Standing from RI

**Secretary of State – Did not complete whole name
the Board's application.**

CORPORATIONS

**ADD, INC. ARCHITECTURE + DESIGN \$120. MA CONTINUE FOR
ANOTHER MONTH -**

#A-14,325 – Change in Responsible Control 08/15/11

Removed: Wayne S. Koch (3132)

Added: Jeffrey Wade (2925) without Required Amended Application

**NO response to Bd's 04/29/11 and 06/08/11 letters requesting
an Amended Application.**

Renewed On-line Renewal - Application attached.

A&E NATIONAL, INC. \$100. NE APPROVED – 08/15/11

EARL SWENSSON ASSOCIATES, INC. \$100. TN APPROVED –

08/15/11

STANTEC ARCHITECTURE INC. - 0 - CO APPROVED – 08/15/11

#A-14,432 – Change in Business Structure

**Added: Richard K. Allen,-Engineer, Senior VP/COO
(Director, Officer, Shareholder)**

08/15/11

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